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PTO/SB/05 (09-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P9945  
First Inventor or Application Identifier Lawrence S. Uzelac  
Title CLOCK PHASE GENERATOR  
Express Mail Label No. EL635877894US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification Total Pages   
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets

5. Oath or Declaration Total Pages   
a. ☒ Newly executed (original copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CFR)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ Paper  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☐ Information Disclosure Statement (IDS)/PTO - 1449 ☐ Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Other: .....

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_

Prior application Information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number of Bar Code Label

(Insert Customer No. or Attach bare code label here)

or ☒ Correspondence address below

|         |   |           |                |          |                |
|---------|---|-----------|----------------|----------|----------------|
| Name    | BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  |           |                |          |                |
| Address | 12400 Wilshire Boulevard, Seventh Floor |           |                |          |                |
| City    | Los Angeles                             | State     | California     | Zip Code | 90025          |
| Country | U.S.A.                                  | Telephone | (310) 207-3800 | Fax      | (310) 820-5988 |

Name (Print/Type) William Thomas Babbitt, Reg. No. 39,591

Signature *William T. Babbitt* Date 12/15/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **938.00****Complete if Known**

|                        |                    |
|------------------------|--------------------|
| Application Number     |                    |
| Filing Date            | 12/15/00           |
| First Named Inventor   | Lawrence S. Uzelac |
| Examiner Name          |                    |
| Group Art Unit         |                    |
| Attorney Docket Number | 042390.P9945       |

**METHOD OF PAYMENT** (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **02-2666**Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

- ☒
- Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. FILING FEE**

| Large Entity | Small Entity | Fee Code | Fee Description        | Fee Paid |
|--------------|--------------|----------|------------------------|----------|
| 101          | 710          | 201 355  | Utility filing fee     | \$710    |
| 106          | 320          | 206 160  | Design filing fee      |          |
| 107          | 490          | 207 245  | Plant filing fee       |          |
| 108          | 710          | 208 355  | Reissue filing fee     |          |
| 114          | 150          | 214 75   | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) **710.00****2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 26                 | -20** = 6    | X \$18.00 =    | 108.00   |
| 4                  | -3** = 1     | X \$80.00 =    | 80.00    |
| Multiple Dependent |              |                |          |

**Large Entity Small Entity**

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description   |
|----------|----------|----------|----------|---|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                    |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                         |
| 104      | 270      | 204      | 135      | Multiple Dependent claim                                  |
| 109      | 80       | 209      | 40       | **Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) **188.00**

\*\*or number of previously paid, if greater. For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEE**

| Large Entity | Small Entity | Fee Code   | Fee (\$) | Fee Description  | Fee Paid |
|--------------|--------------|------------|----------|--|----------|
| 105          | 130          | 205 65     |          | Surcharge - late filing fee or oath  |          |
| 127          | 50           | 227 25     |          | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139          | 130          | 139 130    |          | Non-English specification  |          |
| 147          | 2,520        | 147 2,520  |          | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112          | 920*         | 112 920*   |          | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*       | 113 1,840* |          | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110          | 215 55     |          | Extension for response within first month                                  |          |
| 116          | 390          | 216 195    |          | Extension for response within second month                                 |          |
| 117          | 890          | 217 445    |          | Extension for response within third month                                  |          |
| 118          | 1,390        | 218 695    |          | Extension for response within fourth month                                 |          |
| 128          | 1,890        | 228 945    |          | Extension for response within fifth month                                  |          |
| 119          | 310          | 219 155    |          | Notice of Appeal   |          |
| 120          | 310          | 220 155    |          | Filing a brief in support of an appeal                                     |          |
| 121          | 270          | 221 135    |          | Request for oral hearing   |          |
| 138          | 1,510        | 138 1,510  |          | Petition to institute a public use proceeding                              |          |
| 140          | 110          | 240 55     |          | Petition to revive - unavoidably   |          |
| 141          | 1,240        | 241 620    |          | Petition to revive - unintentionally                                       |          |
| 142          | 1,240        | 242 620    |          | Utility issue fee (or reissue)   |          |
| 143          | 440          | 243 220    |          | Design issue fee   |          |
| 144          | 600          | 244 300    |          | Plant issue fee  |          |
| 122          | 130          | 122 130    |          | Petitions to the Commissioner  |          |
| 123          | 50           | 123 50     |          | Petitions related to provisional applications                              |          |
| 126          | 240          | 126 240    |          | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40           | 581 40     |          | Recording each patent assignment per property (times number of properties) | 40       |
| 146          | 710          | 246 355    |          | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149          | 710          | 249 355    |          | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179          | 710          | 279 355    |          | Request for Continued Examination (RCE)                                    |          |
| 169          | 900          | 169 900    |          | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **40.00****SUBMITTED BY**Typed or Printed Name **William Thomas Babbitt, Reg. No. 39,591**Signature *William T. Babbitt*Date **12/15/00****Complete (if applicable)**

Reg. Number

Deposit Account User ID

**02-2666**